

Acknowledgement of Federal Fire.

Page references are in ATF P 5300.4** (Sept. 2005)

Licensee Name:	UI Number:
David Milton Frazier II dba Fraziers Pawn Shop	768075-2014-0212

TOPIC**18 U.S.C., 27 CFR & Other References****Page Number****1. REQUIRED RECORDS & REPORTS**

<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(e)	66
<input checked="" type="checkbox"/> Computerized Acquisition and Disposition Record	ATF Ruling 2013-5	
<input checked="" type="checkbox"/> Transaction Record/ATF F 4473**	478.124	63-65
<input checked="" type="checkbox"/> Identification Document	478.11	37
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales/ATF F 3310.4**	478.126a	68
<input checked="" type="checkbox"/> Report of Multiple Rifle Sales/ATF F 3310.12**	923(g)(5)(A) & Demand Letter 3	18
<input checked="" type="checkbox"/> (CA, AZ, NM, and TX FFLs ONLY)		
<input checked="" type="checkbox"/> Reporting Thefts or Losses/ATF F 3310.11**	478.39a	44
<input checked="" type="checkbox"/> Retention of Records	478.129	68-69

2. CONDUCT OF BUSINESS

<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	36
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131	54-55 & 69
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	www.fbi.gov/hq/cjisd/nics/index.htm	
<input checked="" type="checkbox"/> Child Safety Lock Act	921(a), 922(z), & 923(d)(1)(G)	4 & 15-16
<input checked="" type="checkbox"/> Sales or Deliveries between Licensees	18 U.S.C. 922(z)	15-16
<input checked="" type="checkbox"/> FFL EZ Check	478.94 & 478.95	52
<input checked="" type="checkbox"/> Gun Show Guidelines**	www.atffonline.gov/fflezcheck	
<input checked="" type="checkbox"/> Out of State/Mail Order and Internet Sales	478.100	54
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.96	52
<input checked="" type="checkbox"/> Ammunition - Age Requirements for Handgun Ammunition	478.99	53-54
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.99(b)(1)	53
<input checked="" type="checkbox"/> Youth Handgun Safety Act- Sales of Handguns / Poster and Notices*	478.134	69-70
<input checked="" type="checkbox"/> Obliterated Serial Number	478.103	55-56
<input checked="" type="checkbox"/> Short Barreled Rifle & Shotgun	478.34	43
	478.11	39

3. LICENSES

<input checked="" type="checkbox"/> Engaged in the Business		
<input checked="" type="checkbox"/> Correction of Error	478.11	36
<input checked="" type="checkbox"/> Posting of License	478.48	48
<input checked="" type="checkbox"/> Renewal/Duration	478.91	50
<input checked="" type="checkbox"/> Premises Covered	478.45 & 478.49	47 & 48
<input checked="" type="checkbox"/> Reporting Changes of Address / ATF F 5300.38**	478.50	48
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.52	48
<input checked="" type="checkbox"/> Reporting Changes of Control	478.53	48
<input checked="" type="checkbox"/> Discontinuance of Business	478.54	48
	478.57 & 478.127	49 & 68

4. MISCELLANEOUS PROVISIONS

<input checked="" type="checkbox"/> Right of Entry and Examination	478.23	40
<input checked="" type="checkbox"/> Tracing Request from ATF	478.25a	41
<input checked="" type="checkbox"/> "Straw" Purchase	478.128 & General Info. # 15	68 & 165
<input checked="" type="checkbox"/> Curios or Relics / ATF P 5300.11**	478.11	35
<input checked="" type="checkbox"/> Antique Firearm / Muzzleloader (Conversion Exceptions)	478.11 & General Info. # 8(C)	35-36 & 161
<input checked="" type="checkbox"/> Compliance with State Law Publication / ATF P 5300.5**	478.24	40-41
<input checked="" type="checkbox"/> Consignment of Firearms	478.124(a), Q&A Section F15,	63 & 182-183
<input checked="" type="checkbox"/> Personal Firearms / ATF P 3312.8**	478.125a	67
<input checked="" type="checkbox"/> Firearm Transportation	478.38	44

5. STATE LAWS AND LOCAL ORDINANCES

<input checked="" type="checkbox"/> Review of basic requirements including additional licenses, waiting periods, concealed carry permits, and firearms permits.		
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6. GUNSMITH ACTIVITIES

<input checked="" type="checkbox"/> Gunsmithing Definition	N/A	
<input checked="" type="checkbox"/> Gunsmith Recordkeeping	478.11 - Engaged in the business (d)	36
<input checked="" type="checkbox"/> Return of Repaired Firearm	478.124(a) & .125(e), ATF Ruling 77-1	63, 66 & 125-126
<input checked="" type="checkbox"/> Firearms & Ammunition Excise Tax - Contact Tax & Trade Bureau	478.124(a), Q&A Section I	63 & 184
	www.ttb.gov/firearms	877-882-3277

** Provide a copy of the form or publication (or refer to www.atf.gov for an e-copy) and explain the requirements for completing the forms

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Acknowledgement of Federal Firearms Regulations

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TOPIC	18 U.S.C., 27 CFR & Other References	Page Number
7. FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Manufacturer Definition	478.11 and 479.11	37 & 82
<input type="checkbox"/> Markings	478.92, 479.102, Rulings 9-5, 12-1 & 13-3	50-51 & 91-92
<input type="checkbox"/> Records	478.123 & 478.125(i), ATF Ruling 10-8	63 & 184
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report / ATF F 5300.11**		
<input type="checkbox"/> Firearms & Ammunition Excise Tax-Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.103	92
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**	479.31-479.37	84-86
8. NFA DEALER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Authorized Operations	ATF Ruling 76-22	125
<input type="checkbox"/> NFA Firearms	Part 479	79-97
<input type="checkbox"/> General Information / ATF P 5320.8**	Q&A Section M	186-189
<input type="checkbox"/> Forms used to transfer NFA items	NFA Forms 1,2,3,4 & 5**	
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**	479.31-479.37	84-86
9. IMPORTER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Importer Definition	478.11	37
<input type="checkbox"/> Importation Acquisition & Disposition Record	478.122 & 478.125(i), ATF Ruling 11-1	62 & 67
<input type="checkbox"/> Markings	478.92/112(d)(2) & 479.102, Ruling 13-3	50-51, 59 & 91-92
<input type="checkbox"/> ATF Forms 6 and 6A**	478.112	57-58
<input type="checkbox"/> Firearm & Ammunition Excise Tax-Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.111-479.113	93-95
10. EXPORTATION	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Arms Export Control Act of 1976	22 U.S.C. 2778	98-100
11. SCHOOL ZONE	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> If the FFL's premises falls within a school zone, firearms in possession of FFL's customers must be unloaded and placed in a locked container or in a locked firearms rack that is on a motor vehicle. Also, the FFL should use specific means to ensure that their customers are not in violation of 18 U.S.C. 922(q). For example, the FFL could advise the customer of State permits/license options or the use of lockable containers. Refer to 18 U.S.C. 921(a)25 on page 7 for the full definition of "School Zone".	ATF P 5310.1**	
12. GENERAL QUESTIONS AND ANSWERS		169-201

Investigator Eileen J. Valls, IOI explained this information to me on 11/4/14 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

[Signature] 11-4-14
Applicant's/Licensee's Signature and Date

[Signature] 11/4/14
ATF Investigator Signature and Date

ATF Office Contact #: ()
ATF Website: www.atf.gov

REPORTING THEFTS: 888-930-9275
800-800-3855

Federal Firearms Licensing Center 866-662-2750
Firearms Tracing Center 800-788-7133
Firearms Imports Branch 304-616-4550
National Firearms Act (NFA) Branch 304-616-4500
Firearms Technology Branch 304-260-1699
Firearms Industry Programs Branch 202-648-7190
Distribution Center / Forms 703-870-7526

Revised 5/15/2014